

MAY 09 2007

**MCKEE, VOORHEES & SEASE, PLC**  
~~MCKEE VOORHEES & SEASE, PLC~~

ATTORNEYS AT LAW

801 GRAND AVENUE • SUITE 3200 • DES MOINES, IA 50309-2721  
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DATE: May 9, 2007

TO: Art Unit 3726 - OFFICE OF PETITIONS  
Petitions Examiner

COMPANY: USPTO

PHONE:

FAX: (703) 872-9306 and (571) 273-8300

FROM: JOHN D. GOODHUE

NUMBER OF PAGES (Including cover): 9

Please file the attached REQUEST TO CORRECT ASSIGNEE UNDER 37 CFR 3.81(b):

APPLICANT : VINCENT, Stephen C., et al  
SERIAL NO : 10/079,010 (now Patent No. 7,170,389 issued 01/30/2007)  
FILED : February 19, 2002  
TITLE : APPARATUS FOR TANTALUM PENTOXIDE  
MOISTURE BARRIER IN FILM RESISTORS

Grp./A.U. : 2832  
Examiner : EASTHOM, Karl D.  
Conf. No. : 6367  
Docket No. : P04870US01

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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : VINCENT, Stephen C.  
SERIAL NO : 10/079,010  
FILED : February 19, 2002  
TITLE : APPARATUS FOR TANTALUM PENTOXIDE MOISTURE BARRIER  
IN FILM RESISTORS

Grp./A.U. : 2832  
Examiner : EASTHOM, Karl D.  
Conf. No. : 6367  
Docket No. : P04860US01

## REQUEST TO CORRECT THE ASSIGNEE UNDER 37 C.F.R. 3.81(b)

Mail Stop PETITIONS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Assistant Commissioner:

In response to the Office Communication dated March 7, 2007, kindly consider the following statement.

## CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

## MAILING

☐ deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Mail Stop Amendment, Alexandria, VA 22313-1450.

Date: May 9, 2007

## ELECTRONIC/FACSIMILE

☒ transmitted by electronic/facsimile to the Patent and Trademark Office, Attn: Office of Petitions at Fax No. (703) 872-9306.

  
JOHN D. GOODHUE

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Statement

When paying the issue fee on December 4, 2006, the incorrect state for the assignee was inadvertently put on form PTOL-85B. Applicant inadvertently put Sanford, ME as the state of the assignee and the correct and recorded state for the assignee is Columbus, Nebraska. As requested, Applicant has included a copy of the Notice of Recordation of Assignment Document as recorded September 7, 2001.

Applicant respectfully requests that this file be forwarded to the Certificates of Correction Branch for issuance of a Certificate of Correction.

If it is believed to aid in expediting this request, the Office of Petitions is invited to call the undersigned attorney to further discuss the matter.

Please charge Deposit Account No. 26-0084 in the amount of \$130 to cover the processing fee. No other fees are believed to be due in connection with this Statement; however, charge any additional fees omitted to Deposit Account No. 26-0084.

Respectfully submitted,



JOHN D. GOODHUE, Reg. No. 47,603  
McKEE, VOORHEES & SEASE, P.L.C.  
801 Grand Avenue, Suite 3200  
Des Moines, Iowa 50309-2721  
Phone No: (515) 288-3667  
Fax No: (515) 288-1338  
CUSTOMER NO: 22885

Attorneys of Record

- mlw -

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

NOVEMBER 08, 2001

PTAS

ZARLEY, MCKEE, THOMTE ET AL.  
DONALD H. ZARLEY  
801 GRAND AVENUE, SUITE 3200  
DES MOINES, IA 50309-2721



\*101838521A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/07/2001

REEL/FRAME: 012140/0297  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

VINCENT, STEPHEN C.

DOC DATE: 04/03/2001

## ASSIGNEE:

VISHAY DALE ELECTRONICS, INC.  
1122 23RD STREET  
COLUMBUS, NEBRASKA 68602-0609

SERIAL NUMBER: 09829169

FILING DATE: 04/09/2001

PATENT NUMBER:

ISSUE DATE:

ANTIONE ROYALL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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## A S S I G N M E N T

In consideration of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to Vishay Dale Electronics, Inc., a Delaware corporation, having an address of 1122 23rd Street, P.O. Box 609, Columbus, Nebraska 68602-0609, its successors and assigns the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, Serial No. 09/829,169, filed in the United States Patent and Trademark Office on the 9th day of April, 2001, entitled A METHOD AND APPARATUS FOR TANTALUM PENTOXIDE MOISTURE BARRIER IN FILM RESISTORS, and in said application and any and all other applications for United States Letters Patent, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent, applications, and in any reissue or extension thereof.

The undersigned hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said Vishay Dale Electronics, Inc.

For said considerations the undersigned hereby agree, upon the request, and at the expense of said assignee, its successors and assigns, to execute any and all divisional continuation and substitute applications for said invention

or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and for the said considerations the undersigned further agree upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said Letters Patent of the United States and vest all rights therein hereby conveying in the said assignee, its successors and assigns whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

And for said considerations the undersigned hereby assign to said assignee, its successors and assigns, the entire right, title and interest in said invention or improvements for any and all foreign countries and agree upon the request of assignee, its successors and assigns to execute any and all documents that undersigned shall be

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requested to execute in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to vest title in said foreign applications and patents in assignee.

The attorneys of record are hereby authorized to insert the serial number and the filing date in the above blank spaces when received from the Patent Office.

April 3, 2001.

Stephen C. Vincent  
Stephen C. Vincent  
804 S. 8th Street  
Norfolk, NE 68701

STATE OF NEBRASKA )  
COUNTY OF Madison ) ss.

On this 3rd day of April, 2001, before me, a Notary Public in and for the County and State aforesaid, appeared Stephen C. Vincent, to me personally known to be the person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act for the uses and purposes therein expressed.

Witness my hand and seal the day and year last above given.



Jean Brodecky  
Notary Public

Commission Expires 12/10/04

09-11-2001

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HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101838521

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

VINCENT, Stephen C. 9-7-21

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: April 3, 2001

## 2. Name and address of receiving party(ies)

Name: Vishay Dale Electronics, Inc.

Internal Address: \_\_\_\_\_

Street Address: 1122-23rd Street

City: Columbus State: NE Zip: 68602-0609

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

Serial No. 09/829,169

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Donald H. Zarley

Internal Address: \_\_\_\_\_

Street Address: Zarley, McKee, Thomte et al.

801 Grand Avenue, Suite 3200

City: Des Moines State: IA Zip: 50309-2721

## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

26-0084

(Attach duplicate copy of this page if paying by deposit account)

9/10/2001 DBYRNE 00000301 09829169

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1 Fee: 501

40.00 DP

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ruth E. Fisher

Name of Person Signing

Signature

Sept. 5, 2001

Date

Total number of pages including cover sheet, attachments, and document:

4

Mail documents to be recorded with required cover sheet information to: